

Geotechnical characterization of the soil profile before and after preloading, a case study of soft soils in Durán, Ecuador.

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1 Abstract: The accelerated growth of the industrial zone of Durán, on the Ecuadorian coast close
2 to Guayaquil, requires the construction of structures founded on settlement-powerful strata of
3 soft soils. The high compressibility and low shear strength of these soils create challenges in
4 the stability of the foundations of these structures. The presence of these soils represents a
5 complex geotechnical situation to solve, mainly due to the settlements caused by the magnitude
6 of the overloads from different engineering projects. For the engineering design of the
7 foundation system of any structure, the geotechnical characterization of the subsoil is required
8 to provide reliable resistance and deformability parameters. This article presents the results and
9 interpretation of the in-situ test campaign, complemented with laboratory data, at the Durán
10 Logistics Terminal characterized by these soft deposits. Boreholes with standard penetration
11 tests (SPT), piezocone (CPTU) and seismic dilatometer (SDMT) tests were carried out in
12 different areas, both before and after the application of different preloads useful to induce a part
13 of the settlement before the construction of warehouses. Soil samples allowed to supply soil
14 classification and stiffness characterization, enabling accurate interpretation and correlation
15 with in situ data. The results obtained after the removal of the preloads detect a considerable
16 improvement of the geotechnical parameters due to the induced settlements, providing a helpful
17 case study for the optimal the design of foundation systems in soft deposits.

18 Keywords: preload; soft soils; geotechnical characterization; in situ tests; piezocone test;
19 seismic dilatometer test.

20

21 1. Introduction

22 In the recent years the Greater Guayaquil region (Ecuador), which includes the city of Durán,
23 has experienced a significant increase of population and industries. This expansion has
24 stimulated the factories to look for alternative areas for their growth, maintaining the crucial
25 connectivity to the Port of Guayaquil. Durán, in response, has evolved rapidly becoming a hub
26 for large industrial complexes, extensive transport networks, storage facilities, and others
27 engineering projects.

28 Literature concerning nearby areas, including Durán and Guayaquil, indicates that the
29 subsidence of the Quaternary and the corresponding sedimentary fill is related to the
30 contribution of the Guayas River. A very high subsidence rate, coupled with significant soil
31 sedimentation, has resulted in an accumulation of at least 3500 meters of Quaternary deposits
32 (Michaud et al., 2009).

33 A critical challenge in this region is the prevalence of soft soils, which become particularly
34 problematic for the design of heavy storage facilities that can suffer from differential settlement.
35 These soils tend to dissipate pore pressure over long time, resulting in volume changes and
36 settlements that can adversely affect overlying structures (Fujiwara & Ue, 1990). In this respect,
37 the application of preloads for the improvement of soft soils is a technique extensively used in
38 regions where this issue is recurrent due to its low environmental impact, fast-construction
39 process, and minimal maintenance requirements (Chaiyaput et al., 2023; Kværner & Snilsberg,
40 2008; Long et al., 2023). The placement of load on the ground surface prior to the construction,
41 facilitates the dissipation of pore pressure, increasing the rates of the primary and secondary
42 consolidation (Mangraviti et al., 2023; Zhang et al., 2023).

43 This paper focuses on a construction area of new warehouses in Durán Logistics Terminal,
44 characterized by a stratigraphic profile with a considerable thickness of soft soils, potentially
45 including organic soils, peat, and sensitive clays in the estuarine deltaic zone (Paredes &
46 Illingworth, 2022). Due to the soil properties, the use of preloads has been widely employed in
47 this area. In this context, in-situ exploration techniques have been adopted to determine the
48 comprehensive soil characterization of these soft soils deposits, before the application and after
49 the removal of preloads (BAP), particularly using boreholes with standard penetration test
50 (SPT), cone penetration test (CPTu), and seismic dilatometer test (SDMT). Moreover, soil

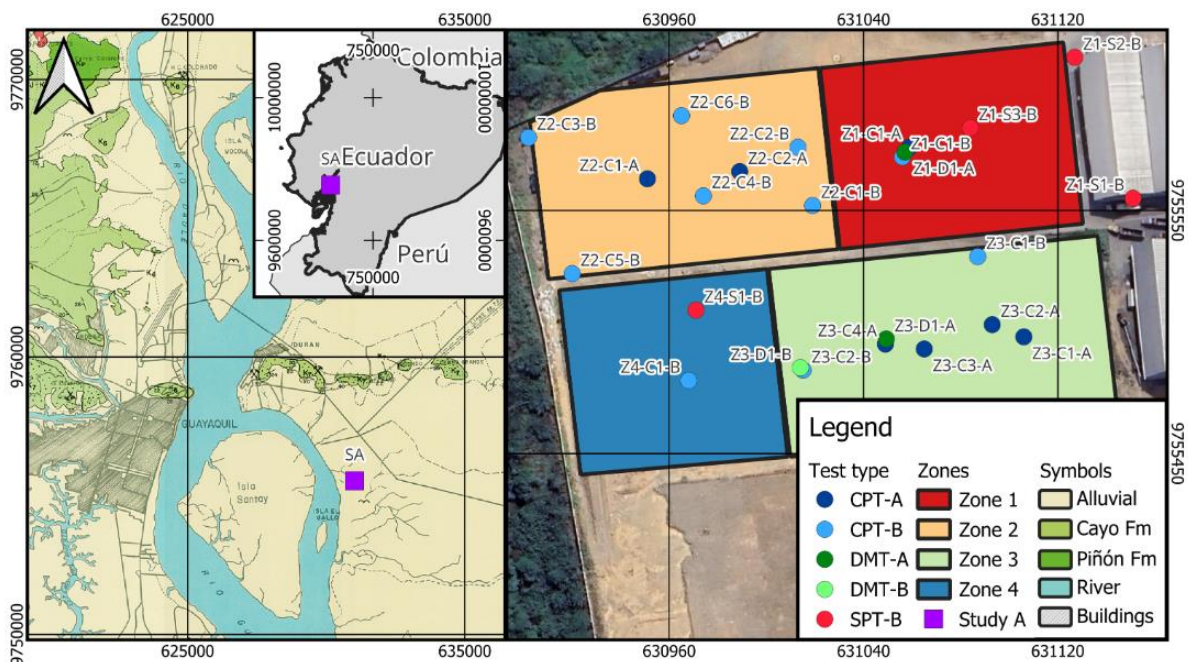
51 samples were collected for laboratory tests to complement the geotechnical properties of the
 52 subsoil and the design of foundation systems.

53 2. Geological settings

54 Ecuador is situated in the northwest of South America and represents an active continental
 55 margin where the Nazca Plate subducts beneath the South American Plate (Trenkamp et al.,
 56 2002). The country is tectonically divided into zones that align parallelly with the arrangement
 57 of the northern Andes Mountain Range (Spikings et al., 2000).

58 In the study area, the soils predominantly represent the Holocene epoch, characterized by an
 59 extensive alluvial plain and estuarine deltaic deposits which are positioned at the base of the
 60 Chongón-Colonche Mountain Range. Owing to the geological attributes of Guayaquil and
 61 Durán, a substantial proportion of its sites exhibit soils prone to liquefaction or a substantial
 62 upper layer comprising soft clay and organic material. (Paredes et al., 2022).

63 The canton of Duran encompasses an approximate area of 59 km², located approximately 5 km
 64 away from Guayaquil. The topography is predominantly flat, with sporadic isolated elevations,
 65 such as the “Las Cabras” hill. The study area corresponds to the industrial zone of Duran,
 66 situated just a few meters from the Guayas River. This area, which was previously used for rice
 67 cultivation, has not been subjected to significant loads. The study area is depicted in Figure 1.



69 Figure 1. Study Area (SA). The nomenclature assigned to each test corresponds first to the zone number (Z1 to
70 Z4), followed by the initial of the test type (-S for SPT, -C for CPTu, and -D for SDMT) accompanied by the test
71 number (1, 2, 3, etc.), and the initial of the preload stage in which it was conducted (-B for tests before preload
72 and -A for tests conducted after). Geological Map modified from British Mission and Directorate General of
73 Geology and Mines (1979).

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76 such as the “Las Cabras” hill. The study area corresponds to the industrial zone of Duran,
77 situated just a few meters from the Guayas River. This area, which was previously used for rice
78 cultivation, has not been subjected to significant loads; however, for being near the Guayas
79 River, the upper layers of soils have suffered an increase in the preconsolidation stress, due to
80 the variation of the level of the river.

81 3. Investigation campaign

82 During the years 2019 and 2020, there was a preliminary geotechnical exploration campaign of
83 the subsurface in the study area. Three SPTs and one CPTu were conducted in Z1, with Z1-S3-
84 B and Z1-C1-B carried out by an anonymous company, and Geocimientos S.A. conducting the
85 remaining tests and all subsequent ones.

86 Following the preliminary exploration stage, an additional CPTu and a SDMT after preload
87 (AP) were conducted in Z1. In Z2, six CPTs were performed before preload (BP), and two CPTs
88 were conducted AP. In Z3 BP, two CPTus and one SDMT were executed, while AP involved
89 four CPTus and one DMT. As of the publication of this article, in Z4, one CPTu and one SDMT
90 BP have been carried out.

91 In total, four SPT tests with a manually hammer drop system, were carried out, resulting in 75
92 samples for conducting 60 grain-size analyses and 75 Atterberg limits tests. Additionally,
93 undisturbed samples were collected using the Shelby tube, leading to 12 oedometer tests. 18
94 CPTu tests were performed with a 10cm piezocone at an average depth of 25 m, with data
95 recorded at 0.01 cm intervals. This was complemented by a series of 51 dissipation tests. Three
96 SDMT tests were executed at an average depth of 20 meters, recording data at intervals of 20
97 cm, and seismic measurements were taken at every 50 cm interval, culminating in five
98 dissipation tests (see Table 1).

Table 1. Summary table of in-situ tests.

Name	Type	Date	East (m)	North (m)	Height (masl)	Phreatic level (masl)	Depth (m)
Z1-S1-B	SPT	17/1/2019	631151	9755555	4.49	2.19	12
Z1-S2-B	SPT	18/1/2019	631127	9755613	4.47	2.17	12
Z1-S3-B	SPT	1/6/2020	631084	9755584	2.59	1.19	40
Z1-C1-B	CPTu	8/12/2020	631056	9755572	4.2	1.6	19.04
Z1-C1-A	CPTu	18/6/2022	631059	9755576	4.21	2.86	25.14
Z1-D1-A	SDMT	1/8/2022	631057	9755574	3.06	1.56	21.2
Z2-C1-B	CPTu	31/8/2021	631019	9755552	3.13	2.63	20.69
Z2-C2-B	CPTu	25/2/2022	631013	9755576	3.39	2.19	20.79
Z2-C3-B	CPTu	3/3/2022	630902	9755580	3.17	2.02	16.75
Z2-C4-B	CPTu	3/3/2022	630974	9755556	3.34	2.59	23.63
Z2-C5-B	CPTu	4/3/2022	630920	9755524	3.25	1.95	22.88
Z2-C6-B	CPTu	12/3/2022	630965	9755589	3.35	2.1	27.62
Z2-C1-A	CPTu	5/4/2023	630951	9755563	2.89	2.89	23.08
Z2-C2-A	CPTu	6/4/2023	630989	9755566	2.77	2.77	23.65
Z3-C1-B	CPTu	2/9/2021	631087	9755530	4.52	2.77	24.6
Z3-C2-B	CPTu	30/7/2022	631013	9755485	2.48	0.78	22.76
Z3-D1-B	SDMT	30/7/2022	631014	9755485	2.5	0.9	20.8
Z3-C4-A	CPTu	11/10/2023	631106	9755498	3.31	1.31	28.25
Z3-C2-A	CPTu	12/10/2023	631093	9755503	3.17	1.17	23.58
Z3-C3-A	CPTu	12/10/2023	631065	9755493	3.14	1.14	21.91
Z3-D1-A	SDMT	12/10/2023	631049	9755497	2.97	0.97	20.3
Z3-C1-A	CPTu	13/10/2023	631049	9755495	2.97	0.97	22.57
Z4-C1-B	CPTu	22/10/2022	630968	9755480	2.5	1.5	19.92
Z4-S1-B	SPT	22/10/2022	630971	9755509	2.64	1.64	15

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101 samples for conducting 60 grain-size analyses and 75 Atterberg limits tests. Additionally,
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106 cm, and seismic measurements were taken at every 50 cm interval, culminating in five
107 dissipation tests.

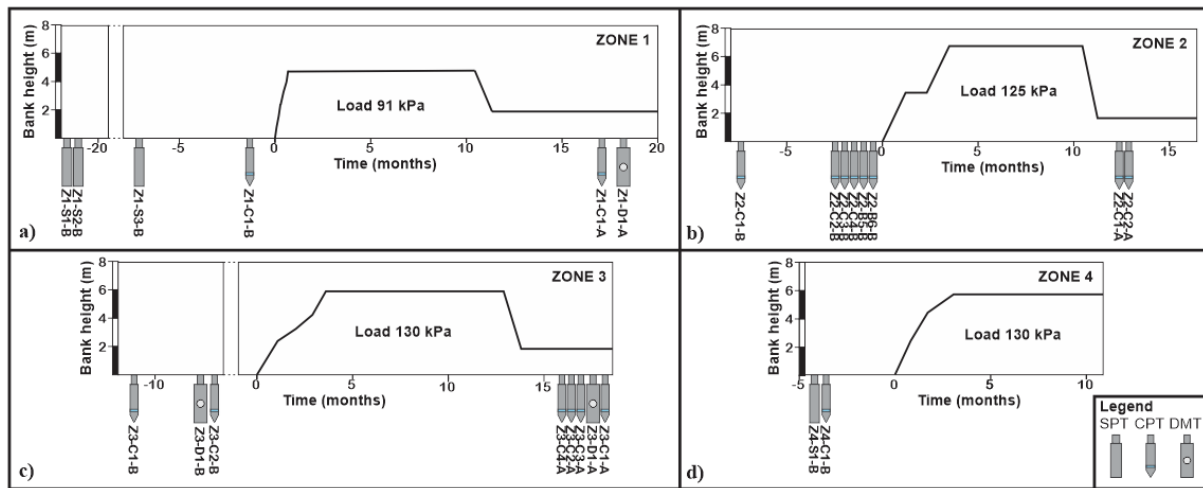
108 4. Loading bank construction

109 To address the expansion requirements of the Duran Logistics Terminal and enhance its
110 operational capacity, the management decided for the construction of additional warehouses,
111 designed to support a storage load of up to 5 t/m². To limit settlement of the new structure, the
112 consolidation of soil under static pre-load through the construction of an embankment was
113 applied on all the area.

114 The overall project was divided into five distinct phases, with the initial ground level set at an
115 elevation of +2.60 meters above sea level (masl). As part of the monitoring and control strategy,
116 a system comprising settlement plates was installed. Unfortunately, technical complications
117 hindered the acquisition of continuous and reliable data from these plates. The quantification
118 of total settlement was subsequently achieved through topographic surveys executed post-
119 construction of each loading bank and following its removal.

120 In the first stage (Z1), a surcharge was placed that reached an elevation of +7.50 masl, applying
121 an approximate load of 91 kPa. The construction took 114 days, with the surcharge application
122 lasting for 331 days. For the second stage (Z2), a taller surcharge was placed, reaching an
123 elevation of +9.30 masl, applying an approximate load of 125 kPa. The construction took 133
124 days and with a surcharge application lasted for 194 days. In the third stage (Z3) a surcharge
125 was placed that reached an elevation of +9.60 masl, applying an approximate load of 130 kPa.
126 The construction took 102 days, and the surcharge application lasted for 255 days. For the fourth
127 stage (Z4), a surcharge of equal height and load magnitude as in Zone 3 was placed. The
128 construction of this surcharge took 134 days and with a surcharge application lasted for 133
129 days.

130 A new stage (Z5) is currently in the process of surcharge construction, with settlements being
131 continuously measured using plates and an electronic measuring instrument. For each zone, the
132 following figures have been created, indicating the stages of loading bank construction and the
133 SPT, CPTu and SDMT tests conducted over time (see Figure 2).



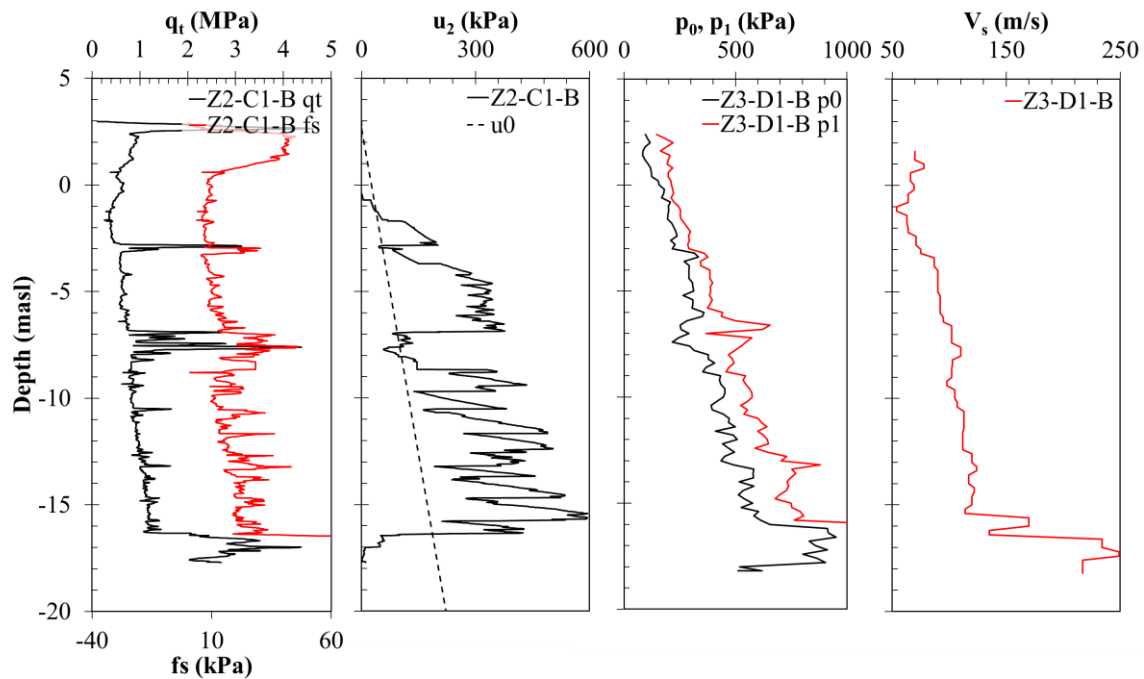
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Figure 2. Loading bank construction stages. a) Zone 1, b) Zone 2, c) Zone 3, and d) Zone 4.

136 5. Geotechnical characterization and soil profile

137 5.1. SPT, CPTu and SDMT parameters comparisons

138 SPT, CPTu and SDMT tests were used to measure specific soil parameters BP. These parameters
 139 include the corrected cone resistance (q_t), sleeve friction (f_s), and pore water pressure (u_2)
 140 for the CPTu test, along with the two corrected pressure readings (p_0 , p_1) and the shear wave
 141 velocity (V_s) for the SDMT. The results from two representative SDMT and CPTu test are
 142 illustrated in Figure 3. The low q_t measurements and the high f_s and u_2 values in the upper
 143 20m of depth, along with the proximity of p_0 and p_1 pressures depth by depth, suggest that
 144 most of the soil profile is composed of soft material. Nevertheless, the profile reveals some
 145 variability, marked by the presence of sand layers at various depths. The groundwater table
 146 (GWT) was estimated to be at a depth of 2.60 meters, as inferred from the u_2 reading of the
 147 CPTu test and the third corrected pressure reading (p_2) from the SDMT, when it was available.



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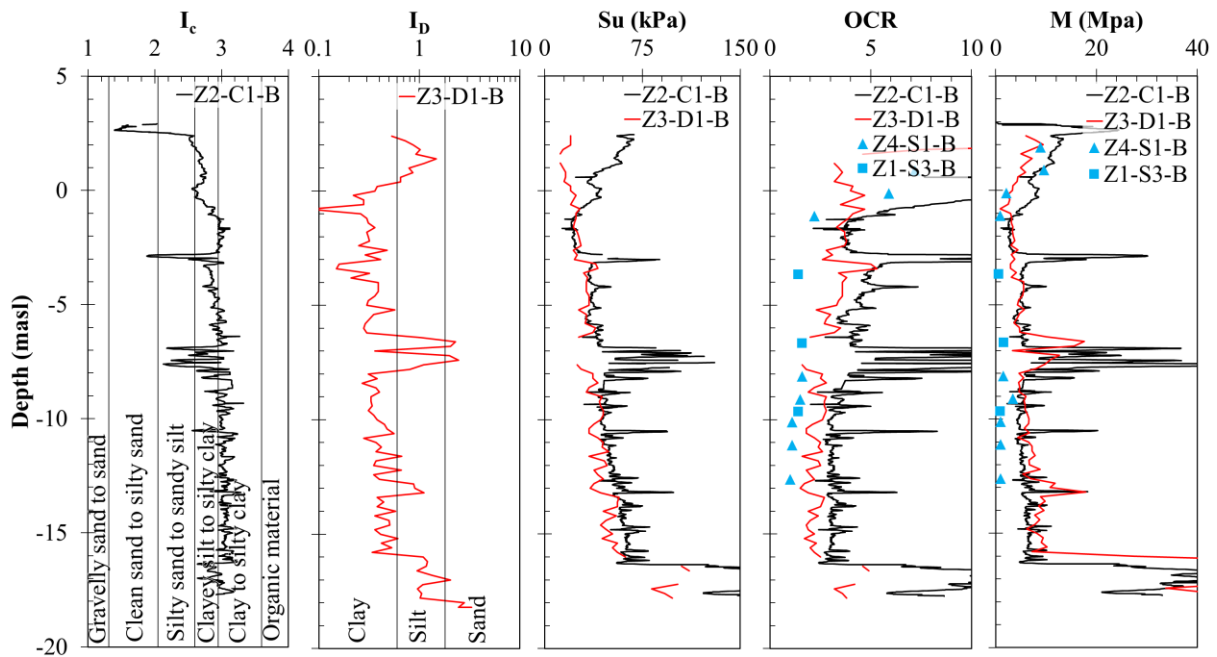
Figure 3. Measured CPTu and SDMT parameters.

150 CPTu and SDMT tests are often used to estimate compressibility and strength soil parameters.
 151 In this respect Robertson (2012) and Marchetti (1980) correlations were employed to determine
 152 S_u from CPTu and SDMT tests. It has been noted that the predictions from both tests are quite
 153 similar, though there is a slight difference in the initial few meters of depth. This variance might
 154 be attributed to a minor difference in the material index I_c and I_D between the two tests.

155 To assess the overconsolidation ratio (OCR), the notable relationship between K_D and the stress
 156 history in clay has underscored the effectiveness of SDMT in providing a stronger estimation
 157 of this parameter, using the formula proposed by Marchetti (1980). For fine-grained soils, OCR
 158 predictions have also been made using CPTu, based on the normalized q_t values, as indicated
 159 by Robertson (2009), and from SPT was estimated from oedometer tests. It can be observed
 160 that there is a consistent shift across all depths between the CPTu and SDMT predictions, with
 161 oedometer calculus being the minor with consistent values from SDMT, and with the CPTu
 162 prediction generally being higher than that of the SDMT.

163 Results from penetration tests are widely utilized for estimating soil settlement by applying the
 164 constrained modulus (M). This modulus is influenced by factors like the stress state, soil type,
 165 and the OCR . Several research endeavors have sought to assess the constrained modulus
 166 through diverse in-situ penetration tests, recognizing it as a straightforward and efficient
 167 property for evaluating deformation characteristics (Lee et al., 2010; Lunne & Christoffersen,
 168 1983). Constrained modulus from SPT was based on the results of the oedometer consolidation

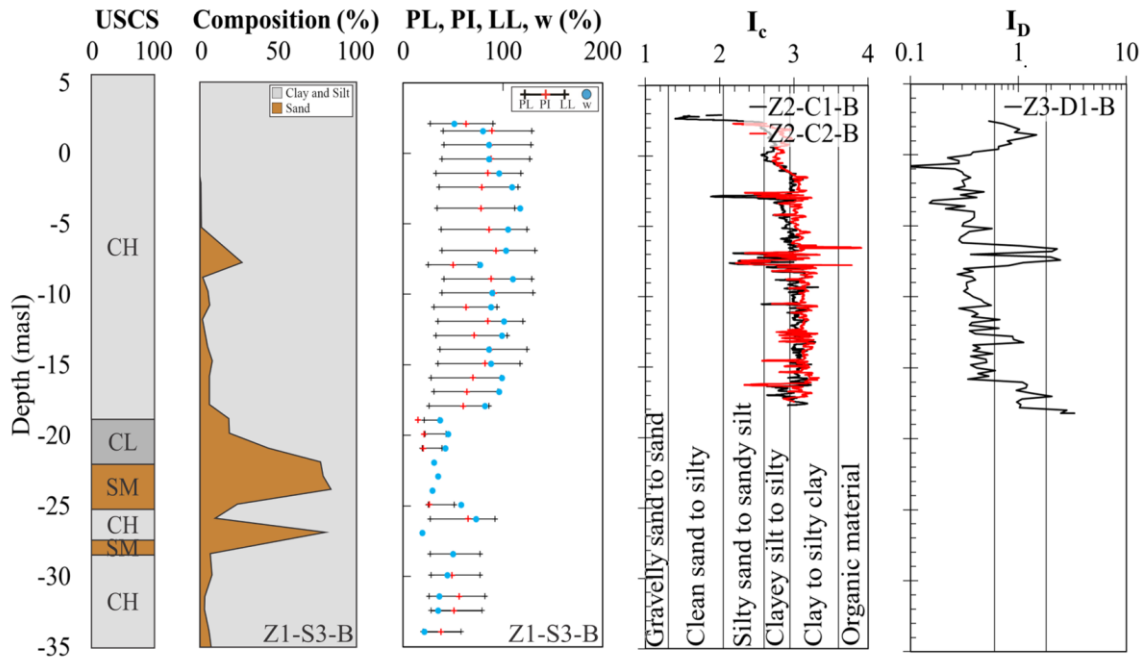
169 test, for the CPTu, it was estimated using Robertson (2009), and for SDMT was estimated using
 170 Marchetti et al. (1980). Comparisons are shown in Figure 4.



171
 172

Figure 4. Estimated CPTu and SDMT parameters.

173 In Figure 5 were plotted % composition of materials from test Z1-S3-B (which is the deeper
 174 test executed), as well as the liquid limit LL , plastic limit PL , plasticity index PI , and moisture
 175 content w . For test Z1-C1-B, the segmented I_c values were located, with values: >3.6 for
 176 organic soils, 2.50 to 3.60 for clays and silty clays, 2.60 to 2.95 for silty clay loam and silty
 177 clays, 2.05 to 2.60 for silty sand and sandy silt, 1.31 to 2.05 for clean sand to sandy silt, and
 178 <1.31 for gravelly sand and dense sand (Robertson & Cabal, 2022). From test Z4-D1-B, the
 179 segmented I_D values were located, with 0.1 to 0.6 for clays, 0.6 to 1.8 for silts, and >1.5 for
 180 sands (Marchetti et al., 2001).



181

182

Figure 5. Combined representation of SPT, CPTu, and SDMT for the stratigraphic profile.

183

A substantial layer of high plasticity clay (CH) was prominently identified, according to the Unified Soil Classification System (USCS) nomenclature provided by the SPT test, extending to at least 24 meters. An interesting double-layer intercalation of approximately 1 meter and 50 cm of sand mixtures was observed at depths of -4.0 and -7.5 meters above sea level (masl), respectively.

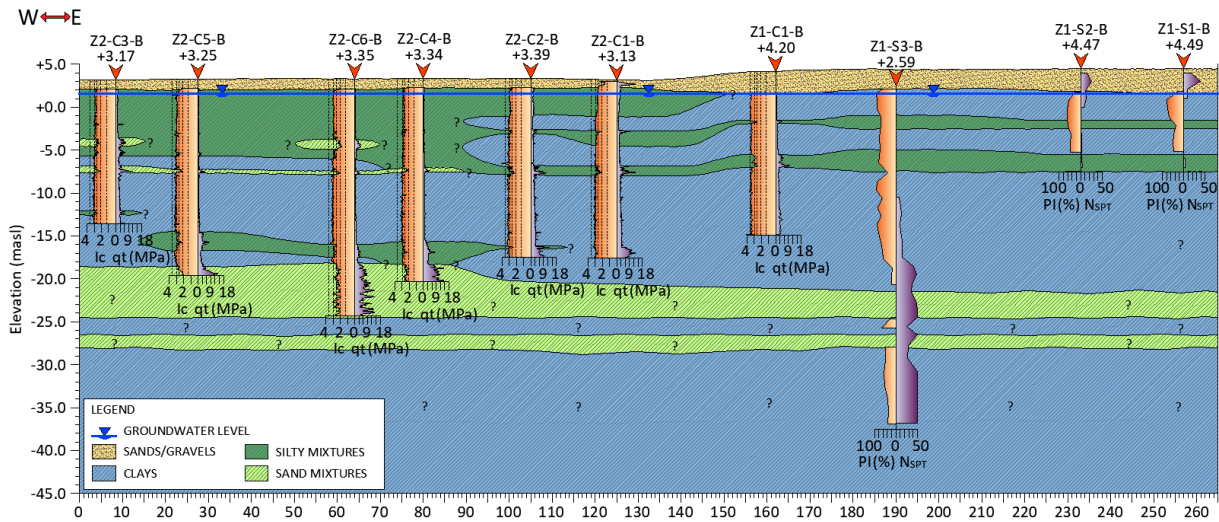
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Additionally, a silt lens was observed at -15 masl, followed by a layer of low plasticity clay (CL) at approximately -18 masl. A similar trend is depicted in the stratigraphic section in Figure 6 and Figure 7. Similar representations have been reported by other authors (Álvarez et al., 2022; Cavallaro, 2022; Fakharian et al., 2022; Ripalda et al., 2022). From the SPT tests, it was observed that at approximately -22 masl, there is a rigid double layer of quite consistent sandy material. However, below this material, a high plasticity clay layer is encountered again.

194

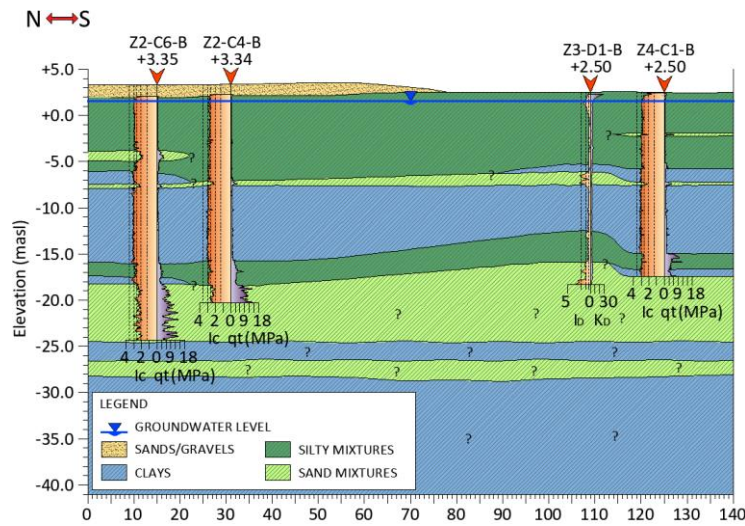
Two cross-sections were created too, designated as 1-1' (Figure 6) and 2-2' (Figure 7). Here we plotted I_c and q_t values for CPTu tests; Plastic Index (PI) and Standard Penetration Resistance (N_{SPT}) for SPT tests; and I_D and K_D values for SDMT test. The plotted boreholes correspond to tests conducted BP.

197



198
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Figure 6. Cross-section 1-1'.



200
201

Figure 7. Cross-section 2-2'.

202 Based on Figure 6 and Figure 7, the study area reveals a surface soil fill consisting of gravels
 203 and sands, succeeded by alternating layers of clays and silty mixtures, with a depth ranging
 204 from 20 to 24 meters. Moreover, in the western sector, there are sand mixtures lenses between
 205 layers of clays and silty mixtures. Subsequently, there is a layer of sand mixtures, with its
 206 thickness increasing from 3 meters in the eastern sector to 6 meters in the western sector. From
 207 borehole Z1-S3-B, it is assumed that beneath the sand mixtures layer and throughout the entire
 208 study area, there is a 2-meter-thick layer of clays, followed by a 1.5-meter-thick layer of sand
 209 mixtures, and then a substantial stratum of clays up to 10 meters in thickness.

210 5.2. Comparison of pore pressure dissipation tests

211 To estimate the k_h parameter from CPTu we used Equation 1 and c_h was estimated with
 212 Equation 2 (Robertson, 2010). Results were plotted in Figure 8.

$$k_h = \begin{cases} 10^{0.952-3.04 I_c}, & 1.0 < I_c \leq 3.27 \\ 10^{-4.52-1.37 I_c}, & 3.27 < I_c < 4 \end{cases} \quad (1)$$

$$c_h = \frac{k_h * M}{\gamma_w} \quad (2)$$

213 For CPTu dissipations, the t_{50} values were obtained from the dissipation tests, tracing a tangent
 214 line to the initial portion of the dissipation curve and calculation the midpoint between the
 215 assumed initial pore pressure u_i and the pore pressure at that depth u_0 , as suggested in
 216 (Robertson, 2010; Robertson & Cabal, 2022). With t_{50} determined, the horizontal
 217 consolidation coefficient c_h were estimated using Equation 3. Results were plotted in Figure 8.

$$c_h = \frac{T * r^2 * I_r^{0.5}}{t_{50}}, \quad \text{with } \begin{cases} \text{Theoretical time factor } T: 0.245 \\ \text{Penetrometer radius } r = 0.0178m \\ \text{Soil Rigidity Index } I_r \end{cases} \quad (3)$$

218 For SDMT dissipation test, the contraflexure time t_{flex} occurs on the contraflexure point from
 219 the dissipation curve, and parameter c_h can be estimated from Equation 4 (Marchetti et al.,
 220 2001). With c_h , horizontal permeability k_h can be determined with Equation 5. These results
 221 were plotted in Figure 8.

$$c_h = \frac{7cm^2}{t_{flex}} \quad (4)$$

$$k_h = \frac{c_h * \gamma_w}{M} \quad (5)$$

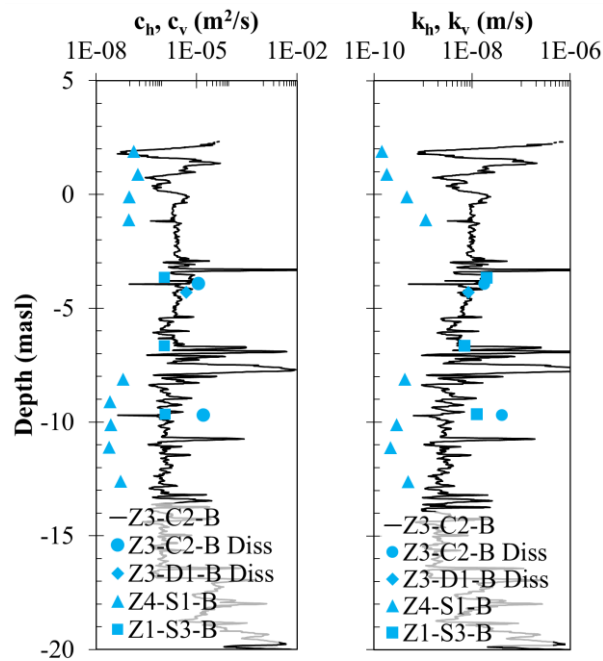
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223 A total of 12 samples for consolidations were obtained from two SPT tests (Z4-S1-B and Z1-
 224 S3-B). From this, the vertical consolidation coefficient c_v , vertical permeability k_v ,
 225 preconsolidation pressure σ'_p , compression index C_c , swelling index C_s , and OCR were
 226 determined (Terzaghi, 1925; Terzaghi & Peck, 1967). Results were plotted in Figure 8.

227 For the comparison of the consolidation coefficient all tests were plotted BP, c_h from a CPTu
 228 (Z2-C2-B) with his dissipation (Z2-C2-B diss), the c_h from SDMT dissipation (Z3-D1-B diss)
 229 and the calculated c_v from SPT's (Z4-S1-B and Z1-S3-B). In the same way as previous, for the

230 comparison of permeability were plotted, the k_h from CPTu BP (Z2-C2-B) with his dissipation
 231 (Z2-C2-B diss), the k_h from SDMT dissipation BP (Z3-D1-B diss), and the estimated k_v from
 232 SPT's BP (Z4-S1-B and Z1-S2-B) (see Figure 8).

233 It should be noted that the tests were compared for Zone 3, where the highest quantity and
 234 reliability of tests are available. However, it is important to acknowledge that the SPT tests
 235 correspond to different zones, and as such, variations in behavior would be anticipated due to
 236 the distinct geological characteristics of these zones.



237
 238 Figure 8. Consolidation coefficients and permeability coefficients estimated from CPTu continuous, CPTu
 239 dissipation, SDMT dissipation and SPT oedometer consolidations.

240 In the case of consolidation coefficients, c values from CPTu continuous are like SDMT
 241 dissipation and SPT Z1-S3-B results, CPTu dissipation values are higher, and SPT Z4-S1-B are
 242 lower. A similar trend to the one mentioned is observed for the permeability coefficients.

243 It should be considered that not all tests correspond to Zone 3, and furthermore, the SPT tests
 244 conducted in the oedometers calculate vertical consolidation and permeability coefficients,
 245 while the other tests estimate horizontal coefficients. This difference in coefficient orientation
 246 may influence the comparisons and should be considered when interpreting the results.

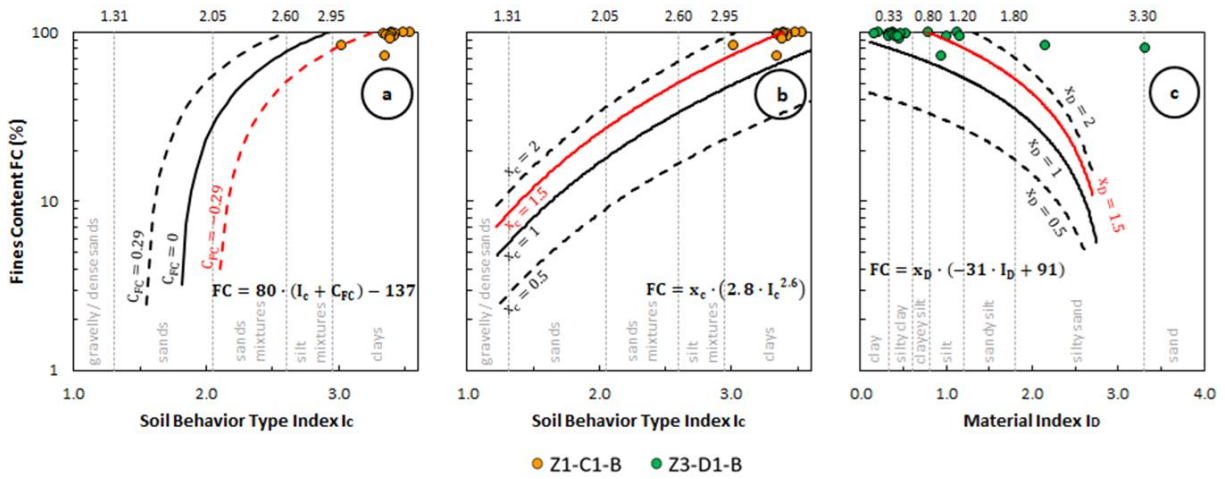
247 5.3. Estimation of fine content from geotechnical in-situ tests

248 An estimation of the fine content (FC) was conducted between SPT, CPTu, and SDMT tests
 249 BP. The fine content of borehole Z1-S4-B was related to the I_c index of borehole Z1-C1-B,
 250 using the Boulanger & Idriss (2014) method (Equation 6) and Suzuki et al. (1998) method
 251 (Equation 7). For borehole Z1-D1-B was related to the I_D index using Di Buccio et al. (2023)
 252 method (Equation 8). The results obtained from each method were plotted in Figure 9.

$$FC = 80 (I_c + C_{FC}) - 137 \quad (6)$$

$$FC = x_c (2.8 I_c^{2.6}) \quad (7)$$

$$FC = X_D (-31 I_D + 91) \quad (8)$$



253
 254 Figure 9. Fine content estimations: (a) I_c - FC chart by Boulanger & Idriss method;
 255 (b) I_c - FC chart by Suzuki et al. method; (c) I_D - FC chart by Di Buccio et al. method.

256 Figure 21a-b shows little variability in the C_{FC} values, mainly in the range of -0.29 to -0.35 and
 257 in the range of 1 to 2 for the x_c coefficient. The best fit shows a negative value of $C_{FC} = -0.29$
 258 for Boulanger & Idriss method, $x_c = 1.5$ for Suzuki et al. method, and $x_D = 1.5$ for Di Buccio
 259 et al. method. These values may be useful for indirect FC estimates obtained in further
 260 investigations in these areas, using the following expressions respectively (Equation 9 to
 261 Equation 11).

$$FC = 80 (I_c - 0.29) - 137 \quad (9)$$

$$FC = 1.5 (2.8 I_c^{2.6}) \quad (10)$$

$$FC = 1.5 (-31 I_D + 91) \quad (11)$$

262 For FC estimates from CPTu tests, the coefficient $x_c = 1.5$ obtained from the Suzuki et al.
 263 method provides a fines content (FC) profile that fits better to the laboratory data than the

264 coefficient obtained by the Boulanger & Idriss method ($C_{FC} = -0.29$). This is confirmed by
265 comparing the overall standard deviation (Equation 12), of the FC predictions with respect to
266 the FC value measured in the laboratory:

$$SD = \frac{\sqrt{(FC_{CPT} - FC_{LAB})^2}}{N} \quad (12)$$

267 Where FC_{CPT} is the FC prediction obtained by CPTu correlations, FC_{LAB} is the FC value
268 measured in the laboratory and N the total number of measurements (Di Buccio et al., 2023).
269 In the study area, the overall standard deviation obtained by the Suzuki et al. method is lower
270 than obtained by the Boulanger & Idriss method (2.2% and 4.4% respectively), allowing a better
271 correlation with the laboratory data. While, with the method proposed by Di Buccio et al., the
272 overall standard deviation for the SDMT correlation is 6%, similar to the CPTu correlations.

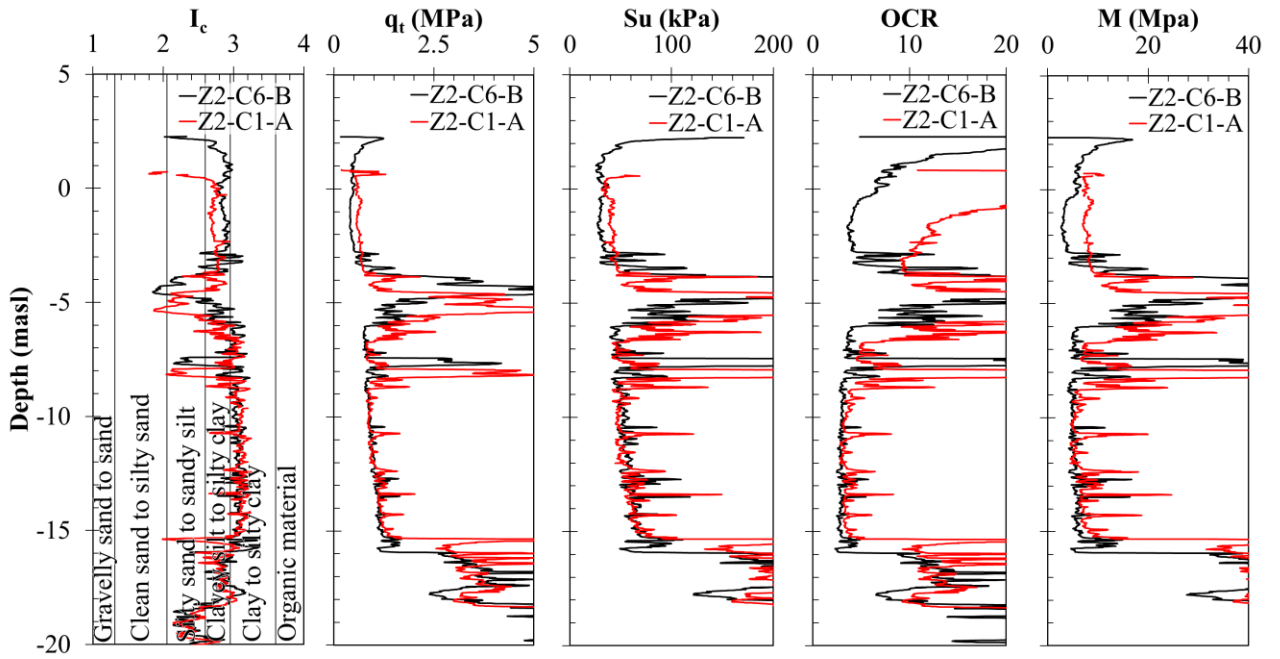
273 6. Geotechnical monitoring of tests

274 6.1. Comparison of CPTu tests conducted BAP

275 Due to soil compression during the AP phase, the stratigraphy of BP and AP boreholes does not
276 correspond in the initial meters and tends to align at greater depths. Therefore, comparisons
277 were made with different depths, as shown in Table 2.

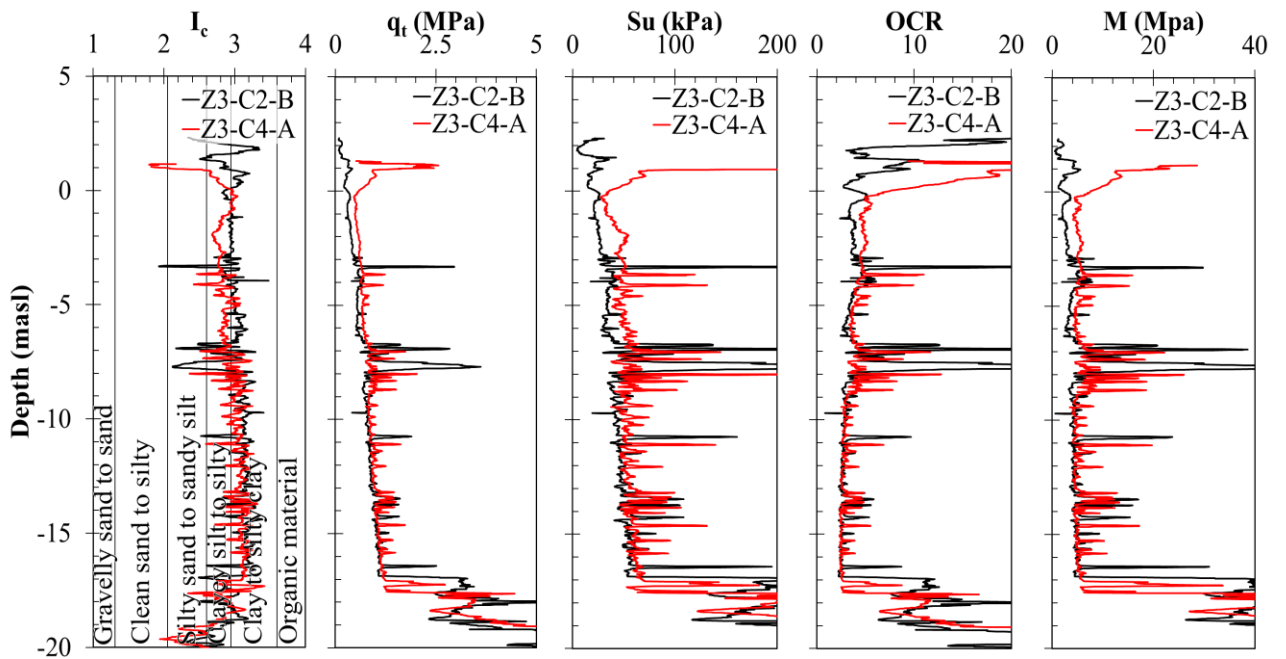
278 To facilitate comparisons between CPTu tests conducted BAP, the measured parameters q_t , f_s ,
279 and the estimated I_c were examined between nearby tests within the same study zone. In Zone
280 1, a suitable pair of tests for comparison could not be identified due to discrepancies in the
281 values obtained from CPTu tests, especially in I_c . In Zone 2, a pair of tests, Z2-C6-B and Z2-
282 C1-A, located at a proximity of 30 m with homogeneous parameters, allowed for the first
283 comparison (CZ2-I). Similarly, in Zone 3, two pairs of tests, Z3-C2-B with Z3-C4-A (CZ3-I)
284 and Z3-C1-B with Z3-C2-A (CZ3-II), were found at distances of 28 m and 35 m, respectively.
285 No comparisons could be made for Zone 4 as the preload has not been removed at this point.

286 The three comparisons (CZ2-I, CZ3-I, and CZ3-II) were graphically represented in Figure 10
287 to Figure 12, focusing on parameters of interest such as I_c , q_t , S_u , OCR, and M , based on the
288 clay behavior of the analyzed soil profile.



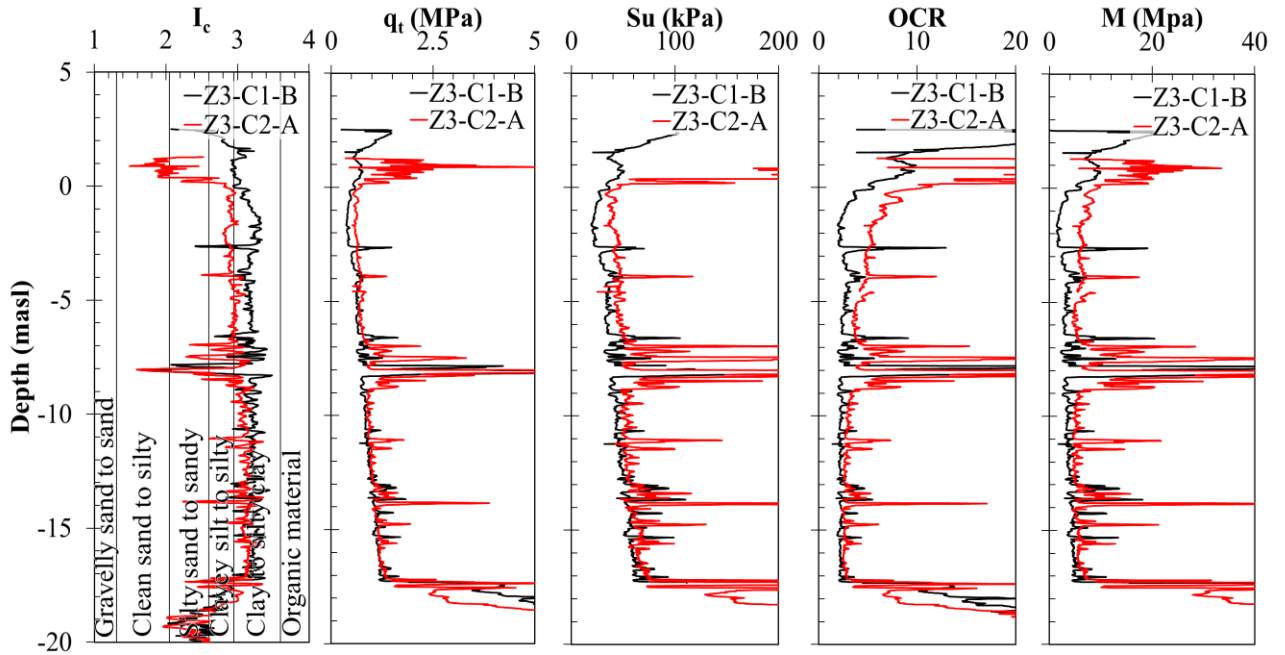
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Figure 10. CZ2-I. Comparison of CPTu tests conducted in Zone 2 BAP.



291
292

Figure 11. CZ3-I. Comparison of CPTu tests conducted in Zone 3 BAP.



293

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Figure 12. CZ3-II. Comparison of CPTu tests conducted in Zone 3 BAP.

295

Table 2 displays the percentage variation of the plotted parameters, where the stratigraphy was divided into three significant segments: the first segment of clay beneath the surface, followed by a layer or double layer of sand, and the third segment corresponding to another extensive layer of clay, before reaching the sand layer located at approximately -20 meters above sea level.

300

To determine the percentage variation of the parameters before and after applying preloads, the mean trimmed of each parameter was calculated using the Equation 13.

301

$$\bar{\chi} = \frac{\sum_{i=p+1}^{n-p} \chi^{(i)}}{n - 2p} \quad (13)$$

302

The examination of post-preload tests reveals a discernible ‘enhancement’ across all parameters, particularly within the initial meters of the subsurface, corresponding to the uppermost clay layer which are described below.

304

305

Notably, the I_c parameter, theoretically expected to remain constant, demonstrates variations. In CZ2-I and CZ3-I, the clayey materials exhibit a tendency toward silt, with a variation in this section around -5% and -4% for both zones; in CZ3-II, clay to silty clay materials exhibit a tendency toward clayey silt to silty clay, with a variation of approximately -7%. Concerning the q_t parameter, its variation was anticipated due to mineralogical compression of the soil. In CZ2-

309

310 I, CZ3-I, and CZ3-II, a similar behavior is observed with a variation of 42%, 35% and 19%,
 311 respectively, within the same initial clay stage.

312 The S_u parameter displays a comparable trend across the three zones, with variations in the first
 313 stage of approximately 34%, 60% and 37%, respectively. CZ2-I exhibits a higher S_u value
 314 compared to CZ3-I and CZ3-II, where it is practically similar. OCR, a crucial parameter for
 315 comparison, indicates over-consolidation due to preload, necessitating a higher value in AP than
 316 BP especially in the first clay layer. CZ2-I shows a variation around 177%, the highest among
 317 the three tests. CZ3-I presents the lowest variation of 19% while CZ3-II demonstrates a
 318 variation of approximately 58%. The M parameter follows a similar trend across zones, with
 319 variations of 53%, 57% and 78% for each respective zone in first described layer. The parameter
 320 M/q_t shows a variation of up to 7%.

321 The second layer shows little variability in the I_c parameter, except for CZ3-II, where there is
 322 a variation of up to -27%. In the q_t parameter a variation of up to -29% is observed, while S_u
 323 varies from 8% to 21%, with a significant increase in CZ3-II where the variation reaches 53%.
 324 For CZ2-I, the OCR shows a notable increase, reaching a variation of up to 68%, while in CZ3-
 325 I, the parameter decreases by -33%. The modulus M varies from 23% to 36%. In this layer, the
 326 parameter M/q_t decreases by -15%.

327 For the bottom layer, there are minor variations. The I_c parameter exhibits almost no change,
 328 with variations between 2% to -4%. The q_t parameter shows a variation of less than -12%,
 329 while the undrained shear strength varies between 10% and 21%. The variation in OCR is less
 330 than -2%; however, in CZ3-II, this variation reaches a value of 23%. The modulus M varies
 331 from 8% to 20%. In this layer, the parameter M/q_t increase by 19%.

332 Table 2. CPT variation parameters in percent

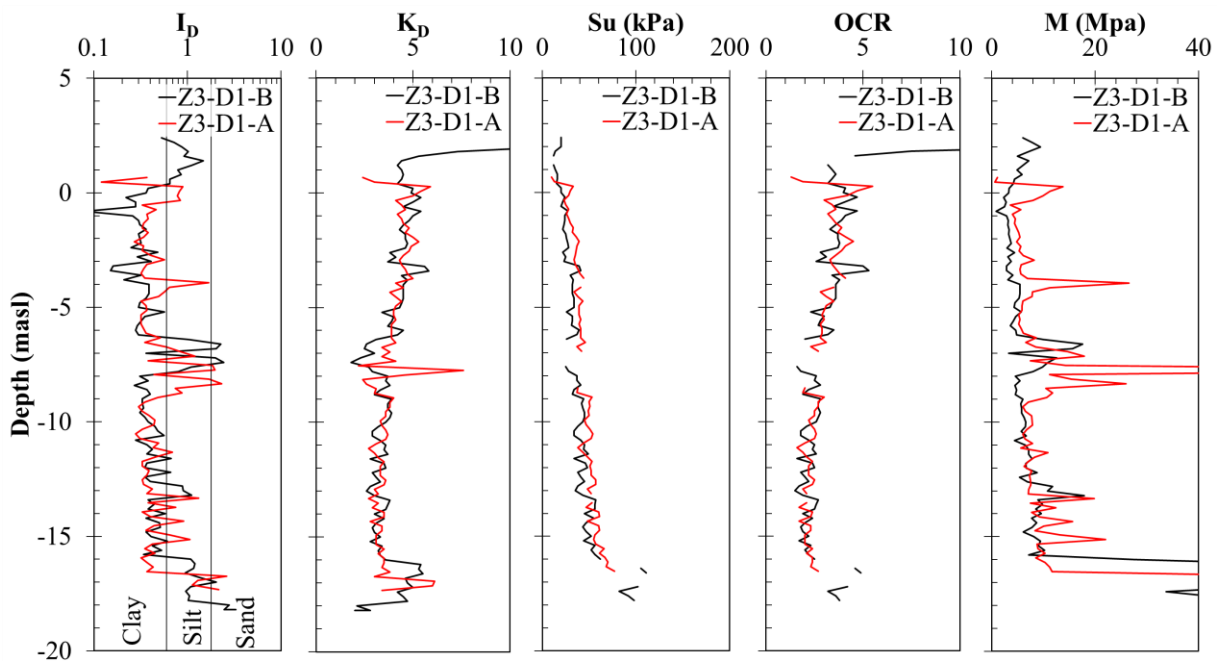
Zone	Tests before		Tests after		Parameters											
	Test DI (masl)		Test DI (masl)		I_c, I_D		q_t, K_D		S_u		OCR		M		M/q_t	
	MTB	MTA	MTB	MTA	MTB	MTA	MTB	MTA	MTB	MTA	MTB	MTA	MTB	MTA	MTB	MTA
2	Z2-C6-B	1.4;-2.7	Z2-C1-A	0.4;-3.6	2.9	2.7 (-5%)	0.4	0.6 (42%)	30.7	41.1 (34%)	5.3	14.7 (177%)	4.4	8 (83%)	-	-
		-2.7;-8.7		-3.6;-9.1	2.8	2.7 (-2%)	1.3	1.6 (24%)	89.7	108.2 (21%)	9.7	16.4 (68%)	14.7	20 (36%)	-	-
		-8.7;-17.7		-9.1;-15.1	3	3.1 (2%)	1.2	1.1 (-12%)	68.8	56.3 (-18%)	3.5	3.5 (0%)	8	6.7 (-17%)	-	-
3	Z3-C2-B	0.5;-6.5	Z3-C4-A	-0.2;-6.7	3	2.8 (-4%)	0.5	0.6 (35%)	29.6	47.3 (60%)	3.7	4.4 (19%)	3.3	5.1 (57%)	-	-
		-6.5;-8.5		-6.7;-8.7	2.9	3 (3%)	1.1	0.9 (-13%)	72.4	58.3 (-19%)	6.6	4.4 (-33%)	11.3	7.7 (-32%)	-	-
		-8.5;-16.5		-8.7;-16.7	3.1	3.1 (-2%)	0.9	1 (7%)	49.7	57.1 (15%)	2.7	2.8 (1%)	4.6	5 (8%)	-	-
	Z3-C1-B	1.5;-6.5	Z3-C2-A	0.2;-6.8	3.1	2.9 (-7%)	0.6	0.7 (19%)	32.4	44.3 (37%)	3.2	5 (58%)	3.5	6.3 (78%)	-	-
		-6.5;-8.5		-6.8;-8.8	3.1	2.8 (-7%)	1	0.7 (-29%)	46.1	49.7 (8%)	4.1	4.7 (17%)	7.8	5.9 (-25%)	-	-
	-8.5;-17		-8.8;-16.8	3.2	3.1 (-4%)	1	1 (-1%)	52	57.1 (10%)	2.2	2.8 (23%)	4.2	5 (19%)	-	-	

Z3-D1-B	-0.1;-5.6	Z3-D1-A	0.7;-5.3	0.3	0.4 (22%)	4.5	4.4 (-3%)	28.4	36.5 (29%)	3.5	3.4 (-4%)	3.7	5.9 (60%)	-	-
Z3-D1-B	-5.6;-7.6	Z3-D1-A	-5.3;-8.3	1.1	0.8 (-27%)	3	3.6 (19%)	29.8	45.6 (53%)	2.2	2.7 (24%)	9.5	11.8 (23%)	-	-
Z3-D1-B	-7.6;-15.1	Z3-D1-A	-8.3;-15.3	0.4	0.4 (-4%)	3.3	3.3 (0%)	43.6	52.9 (21%)	2.2	2.2 (-2%)	7.2	8.7 (20%)	-	-
Z3-C1-B	2.9;-5.1	Z3-C2-A	0.7;-5.3	-	-	-	-	-	-	-	-	-	-	7.1	7.6 (7%)
Z3-D1-B	-5.1;-8.1	Z3-D1-A	-5.3;-7.8	-	-	-	-	-	-	-	-	-	-	9	7.6 (-15%)
Z3-D1-B	-8.1;-15.1	Z3-D1-A	-7.8;-15.3	-	-	-	-	-	-	-	-	-	-	7.4	8.9 (19%)

DI: depth interval, MTB: mean trimmed before, MTA: mean trimmed after.

333 6.2. Comparison of SDMT tests conducted BAP

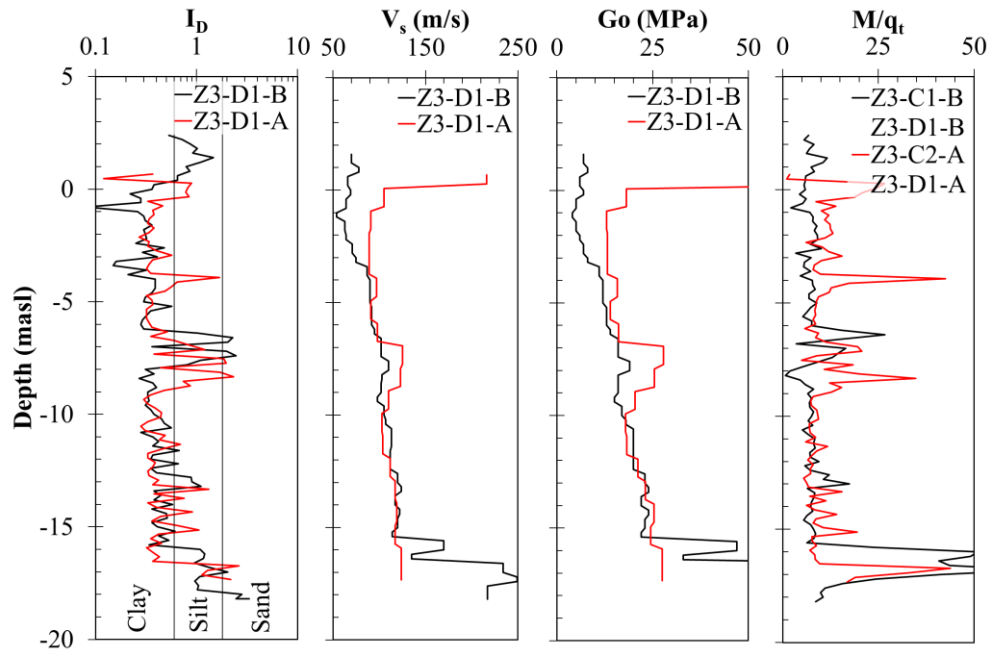
334 For the comparison of SDMT BAP tests, parameters such as I_D , k_D , S_u , OCR and M were
 335 contrasted (Figure 13) because, like CPTu, these parameters provide an accurate
 336 characterization of the soil being worked on. However, here we compare V_s , G_o and M/qt
 337 parameters too (Figure 14). At the time of this publication, SDMT BAP tests were conducted
 338 only in Z3 (Z3-D1-B and Z3-D1-A).



339

340

Figure 13. Comparison 1 of SDMT tests conducted in Zone 3 BAP.



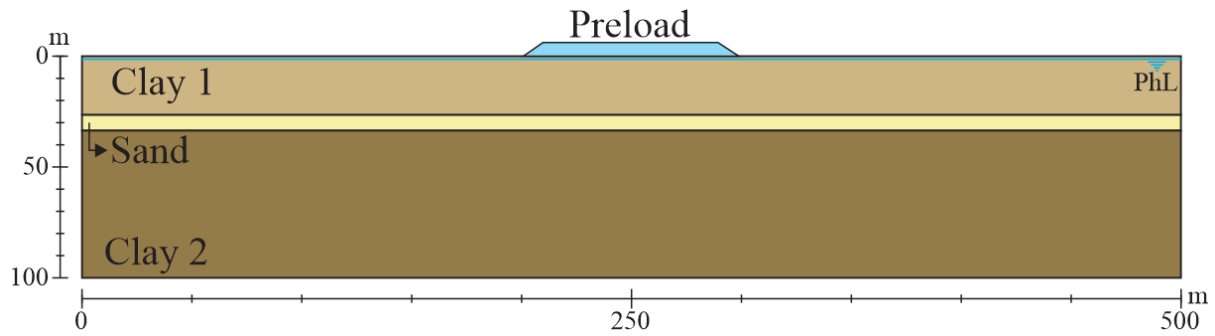
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Figure 14. Comparison 2 of SDMT tests conducted in Zone 3 BAP.

343 As shown in Figure 13 and Table 2, in the first layer, I_D increase by 22% and after the sand
 344 layer appears to remain relatively constant. K_D parameter does not exhibit a significant
 345 numerical change except in the sand layer. As expected, the S_u parameter shows a slight
 346 increase, which is noticeable in the first 6 meters of clayey material, where it exhibits a variation
 347 of approximately 29%. The OCR evaluation, unlike the CPTu estimates, does not indicate an
 348 increase, which is unexpected as it should be higher for AP tests. Conversely, the M parameter
 349 shows an increase of around 60% in the first layer.

350 7. Behavior of the simplified model in Plaxis

351 To estimate settlements in this area, a simplified model (Figure 15) was created in Plaxis using
 352 an embankment with a height of 7 m and 100 m width, constructed over a soil profile with
 353 dimensions of 500 m in width and 100 m in depth, consisting of 3 materials: Clay 1 from 0 to
 354 25m, Sand from 25 to 35m and clay 2 from 35 to 100m and a phreatic level at 1m. The material
 355 properties are shown in Table 3.



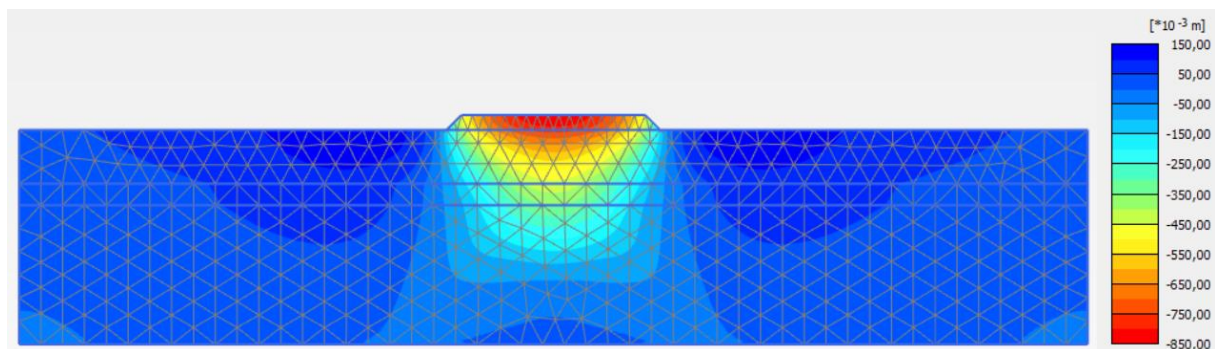
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Figure 15. Geometry Plaxis Model

Table 3. Materials properties

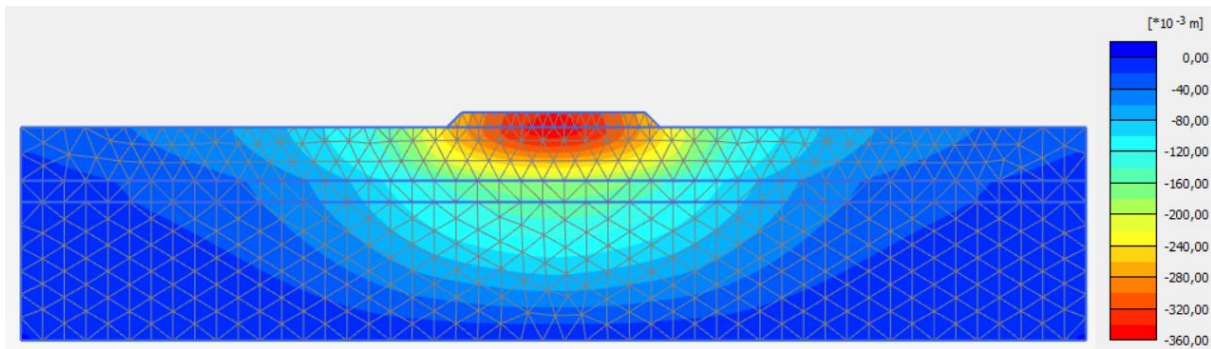
Material	Clay 1	Sand	Clay 2
Type	Undrained A	Drained	Undrained A
γ unsat (kN/m ³)	17	19	17
γ sat (kN/m ³)	18	20	18
C (kPa)	50	10	50
ϕ (°)	15	35	15
E (kPa)	4829	30000	20000
k (m/s)	1.00E-08	1.00E-06	1.00E-08

359 For the analysis we divide the computation in three stages: a) Construction of soil profile with
360 gravity load calculation, b) Consolidation in construction of the preload which was constructed
361 in 50 days and was calculated with consolidation type and c) Consolidation with preload
362 completed which was calculated with consolidation type for 2 sceneries: 1) One year AP
363 construction and 2) At 90% final consolidation. Vertical displacements by stages were plotted
364 for stage 2 (50 days) (Figure 16), stage 3 one-year AP construction (Figure 17) and for 90% of
365 final consolidation (Figure 18).



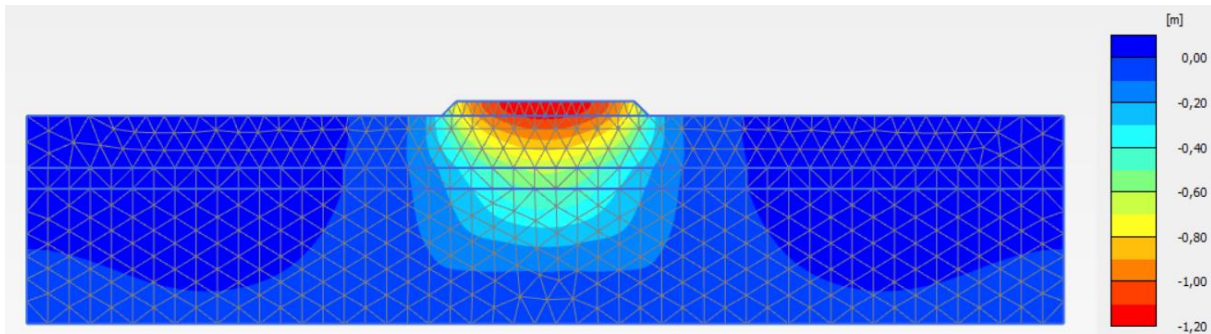
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Figure 16. Partial settlements at finish construction preload (50 days)



368
369

Figure 17. Partial settlements one year AP construction



370
371

Figure 18. Total vertical displacements at 90% final consolidation (648 days)

372 From Figure 16, it can be observed that there is a construction settlement of approximately
 373 85cm, which is achieved in the 50 days during the construction of the embankment. In Figure
 374 17, once the preload is constructed, settlements reach 36cm, which are achieved one year after
 375 the completion of the construction. Finally, from Figure 18, it is observed that settlements reach
 376 90% consolidation at 648 days, with a maximum settlement of around 120cm, indicating that 1
 377 year and 50 days later, there is no significant variation in settlements compared to the 90% of
 378 final consolidation.

379 Two key points should be highlighted. Firstly, beyond the sand layer that is not penetrated by
 380 either CPTu or SDMT tests, there still exists a clay layer representing a significant volume
 381 within which settlements continue to occur. These settlements might be going unnoticed and
 382 not considered. Secondly, it is crucial to note that most settlements occur during the construction
 383 of the preload. Therefore, monitoring settlements during construction is indispensable for
 384 effective settlement control.

385 8. Conclusions

386 Given the quantity and quality of tests conducted in this study, the results exhibit a high degree
 387 of replicability, leading to the following conclusions:

388 Since the construction of an embankment creates significant stresses in the first few meters of
389 the soil upon which it is placed, the physical parameters of that section tend to exhibit the
390 greatest variation. Consequently, at greater depths, the parameters tend to behave similarly.

391 Preloading has demonstrated the capacity to enhance fundamental soil parameters such as S_u ,
392 and M , showing an average (both CPTu and SDMT) increase of 40% and 70%, respectively.
393 This enhancement is evident in the comparative analysis of CPTu and SDMT tests.

394 Although I_c , a parameter not expected to change after preload, exhibits a variation, the I_D
395 parameter also shows a minimal increase.

396 The OCR parameter experiences substantial increases, aligning with expectations in CPTu
397 estimations (85%). However, in SDMT tests, OCR shows minimal change, which is not entirely
398 reasonable given the high preload efforts.

399 Permeability and consolidation coefficients obtained from CPTu continuous, CPTu dissipation,
400 and SDMT tests demonstrate a high level of replicability, however, coefficients c and k from
401 SPT exhibit a different behavior due their estimations are in vertical pore pressure drainage (c_v
402 and k_v), while others are based on horizontal disposition estimations (c_h and k_h) and not all
403 tests were conducted in the same zone.

404 For the study area, specific methodologies have been proposed to estimate fines content (FC),
405 based on CPTu and SDMT measurements. To estimate FC from CPTu tests, the method
406 proposed by Suzuki et al. is the best fit, as it yields an overall standard deviation of 2.2%.
407 Meanwhile, to estimate the FC from SDMT tests, the method proposed by Di Buccio et al.
408 exhibits a standard deviation close to that obtained from the CPTu tests (6%).

409 The Plaxis analysis indicates that the highest settlements occur during the preload construction
410 stage (approximately 70%) compared with 90% of consolidation at 648 days. Theoretically,
411 settlements are expected beneath the double sand layer beyond -20 masl, reaching around 90 m
412 which is consistent with Boussinesq effort calculations which are at 90% at 80 m depth.

413 Acknowledgements

414 We extend our sincere appreciation to ESPOL for generously funding both the execution and
415 publication of this research, as well as for lending the DMT equipment. We would also like to
416 express our gratitude to Durán Logistics Terminal and Geocimientos S.A. for their invaluable

417 collaboration in supplying information on the SPT and CPTu conducted during the project’s
418 construction. Their dedication and cooperation have played a pivotal role in the achievement of
419 our research objectives.

420 Declaration of interest

421 Authors are required to disclose conflicting interests that could inappropriately bias their work.
422 For that end, a section entitled “Declaration of interest” should be included. In case of the
423 absence of conflicting interests, the authors should still include a declaration of interest, for
424 which the following example could be used:

425 The authors have no conflicts of interest to declare. All co-authors have observed and affirmed
426 the contents of the paper and there is no financial interest to report.

427 Authors’ contributions

428 Juan Arévalo-Ochoa: Data curation, writing – original draft, formal analysis, visualization,
429 software. Steven Muñoz-Buestán: writing, formal analysis, visualization. Davide Besenon:
430 Conceptualization, project administration, supervision, validation, writing – review & editing.
431 Carlos Grau-Sacoto: data acquisition, resources. Sara Amoroso: Conceptualization, validation,
432 writing – review & editing.

433 Data availability

434 Data generated and analyzed during the current study are not publicly available due private
435 TLD investment, but a complete or limited dataset can be made available upon reasonable
436 request.

437 List of symbols

438 q_t Corrected cone resistance for pore water effects

439 f_s Sleeve friction resistance

440 u_2 Water pressure at base of sleeve

441	u_0	Equilibrium pore water pressure
442	σ_v	Vertical stress
443	σ'_v	Effective vertical stress
444	σ'_p	Preconsolidation pressure
445	k	Permeability
446	k_h	Horizontal permeability
447	k_v	Vertical permeability
448	c	Consolidation coefficient
449	c_h	Horizontal consolidation coefficient
450	c_v	Vertical consolidation coefficient
451	p_0	First DMT corrected pressure reading
452	p_1	Second DMT corrected pressure reading
453	p_2	Third DMT corrected pressure reading
454	$\Delta\sigma_{ef}$	Stress increment (stress interval)
455	$\sigma_{1,ef}$	Stress at point 1 on the stress-strain curve
456	$\sigma_{2,ef}$	Stress at point 2 on the stress-strain curve
457	$\Delta\varepsilon$	Variation in axial deformation
458	ε_1	Axial deformation at point 1 on the stress-strain curve
459	ε_2	Axial deformation at point 2 on the stress-strain curve
460	w	Moisture content
461	γ	Unit weight
462	γ_w	Unit weight of water

463	t_{50}	Time to reach 50% dissipation
464	t_{flex}	Contraflexure time
465	$diss$	Dissipation
466	$masl$	Meters above sea level
467	SPT	Standard penetration test
468	$CPTu$	Cone penetration test
469	$SDMT$	Seismic dilatometer test
470	BAP	Before and after preload
471	AP	After preload
472	BP	Before preload
473	GWT	Groundwater table
474	PI	Plasticity index
475	PL	Plastic limit
476	LL	Liquid limit
477	$USCS$	Unified Soil Classification System
478	CH	High plasticity clay
479	CL	Low plasticity clay
480	C	Cohesion
481	ϕ	Friction angle
482	E	Young modulus
483	I_c	Soil behavior type index
484	Q_t	Normalized cone penetration resistance
485	M	Constrained modulus

486	OCR	Overconsolidation ratio
487	S_u	Undrained shear strength
488	V_s	Shear wave velocity
489	I_D	Material index
490	K_D	Horizontal stress index
491	E_D	Dilatometer modulus
492	G_0	Small strain shear modulus
493	N_{SPT}	SPT blow count
494	DI	Depth interval
495	MTB	Mean trimmed before
496	MTA	Mean trimmed after
497	SD	Overall standard deviation
498	FC	Fines content
499	C_{FC}	Coefficient related to fines content by Boulanger & Idriss method
500	x_c	Coefficient related to fines content by Suzuki et al. method
501	x_D	Coefficient related to fines content by Di Buccio et al. method

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CERTIFICACIÓN DE REVISIÓN DE PROYECTO DE TITULACIÓN

Por medio de la presente, Yo Davide Besenzon Venegas, Coordinador del Programa de Maestría en Geotecnia de la Escuela Superior Politécnica del Litoral (ESPOL), certifico que:

Con fecha 24 de febrero de 2023, los estudiantes Juan Patricio Arévalo Ochoa y Héctor Steven Muñoz Buestán con números de identificación 0706586187 y 0105356711, respectivamente, de la Cohorte 4, presentaron la propuesta de su tema de titulación al Comité Académico del programa. Posteriormente, con fecha 20 de noviembre de 2023, el Comité revisó y aprobó la propuesta mediante la resolución FICT-CA-GEOTEC-037-2023, cumpliendo con los requisitos establecidos para la aprobación del tema.

A partir de dicha aprobación, los estudiantes mantuvieron reuniones periódicas con el tutor designado, Davide Besenzon Venegas, para la elaboración y desarrollo de su proyecto de titulación, siguiendo los lineamientos establecidos por el programa. Con fecha 25 de noviembre de 2023, los estudiantes presentaron y sustentaron su proyecto de titulación ante el tribunal evaluador asignado, cumpliendo con el proceso formal de evaluación académica.

Por lo tanto, en calidad de Coordinador del Programa de Maestría en Geotecnia, certifico que el trabajo de titulación denominado "**Análisis comparativo de ensayos in-situ previo y post colocación de precargas en el Terminal Logístico Durán**", realizado por los estudiantes Juan Patricio Arévalo Ochoa y Héctor Steven Muñoz Buestán con números de identificación 0706586187 y 0105356711, respectivamente, ha sido revisado y evaluado conforme a los lineamientos y estándares establecidos por el programa.

Debido a circunstancias externas, no ha sido posible obtener las firmas de los involucrados (estudiante, tutor(es) y/o evaluadores). No obstante, en calidad de Coordinador del Programa, certifico que el proyecto cumple con los requisitos académicos y ha sido revisado para su presentación y archivo institucional.

Atentamente,



Firmado electrónicamente por:
**ANDRÉS EDUARDO
GUZMAN VELASQUEZ**

M. Sc. Andrés Eduardo Guzmán Velásquez
Coordinador General de Postgrados FICT